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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

NARAYAN P. MENON, ET AL.

Application No.:

Filed:

For: **Wireless Access Unit using Standardized  
Management and Connection Protocols**

Art Group:

Examiner:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted . It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/812,534, filed March 19, 2001) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 3/18/4

  
Gordon R. Lindeen III, Reg. No. 33,192

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (303) 740-1980

|                                                                                                                                       |  |                          |                  |
|---------------------------------------------------------------------------------------------------------------------------------------|--|--------------------------|------------------|
| Substitute for form 1449A/PTO<br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(use as many sheets as necessary)</i> |  | <b>Complete if Known</b> |                  |
| Sheet _____ of _____                                                                                                                  |  | Application Number       |                  |
|                                                                                                                                       |  | Filing Date              |                  |
|                                                                                                                                       |  | First Named Inventor     | Narayan P. Menon |
|                                                                                                                                       |  | Art Unit                 | 2661             |
|                                                                                                                                       |  | Examiner Name            | Cangialosi       |
|                                                                                                                                       |  | Attorney Docket Number   | 42P11564C3       |

| U.S. PATENT DOCUMENTS |                          |                                            |                                                 |                                                    |                                                                                 |
|-----------------------|--------------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>or Issue Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number - Kind Code <sup>2</sup> (if known) |                                                 |                                                    |                                                                                 |
|                       |                          | US-5,664,004                               | 09-01-1997                                      | Durchman et al.                                    |                                                                                 |
|                       |                          | US-6,175,737                               | 01-01-2001                                      | Kao, C.                                            |                                                                                 |
|                       |                          | US-6,208,627                               | 03-01-2001                                      | Menon et al.                                       |                                                                                 |
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| FOREIGN PATENT DOCUMENTS |                          |                                                                                     |                                |                                                    |                                                                                 |                |
|--------------------------|--------------------------|-------------------------------------------------------------------------------------|--------------------------------|----------------------------------------------------|---------------------------------------------------------------------------------|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document                                                             | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>3</sup> |
|                          |                          | Country Code <sup>2</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                |                                                    |                                                                                 |                |
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| Examiner<br>Signature _____ | Date<br>Considered _____ |
|-----------------------------|--------------------------|

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOC. NO.

42 P11564C3

SERIAL NO.

APPLICANT:

Narayan Menon, et. al.

FILING DATE:

Conc. Horowitz

GROUP:

26661

## U.S. PATENT DOCUMENTS

| EXAMINER'S<br>INITIAL | DOCUMENT NUMBER | DATE     | NAME             | CLASS | SUB<br>CLASS | FILING<br>DATE |
|-----------------------|-----------------|----------|------------------|-------|--------------|----------------|
| AA                    | 3,711,647       | 01/16/73 | Boyer            | 179   | 2            | 12/22/70       |
| AB                    | 3,856,982       | 12/24/74 | Lawson et al.    | 179   | 90           | 04/26/73       |
| AC                    | 3,912,875       | 10/14/75 | Katz             | 179   | 41           | 04/03/72       |
| AD                    | 3,974,343       | 08/10/76 | Cheney et al.    | 179   | 18           | 01/10/76       |
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| AF                    | 4,012,596       | 03/15/77 | West, Jr. et al. | 179   | 41           | 08/06/75       |
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| AH                    | 4,071,711       | 01/31/78 | Beaupre et al.   | 179   | 41           | 06/20/75       |
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| AJ                    | 4,176,254       | 11/27/79 | Tuttle et al.    | 179   | 5R           | 12/27/77       |
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| AL                    | 4,369,516       | 01/18/83 | Byrns            | 375   | 110          | 09/15/80       |
| AM                    | 4,425,480       | 01/10/84 | Lischin          | 179   | 90           | 11/20/81       |
| AN                    | 4,555,592       | 11/26/85 | Deinzer          | 179   | 2            | 05/23/83       |
| AO                    | 4,562,307       | 12/31/85 | Bursztejn et al. | 179   | 2            | 08/13/84       |
| AP                    | 4,567,588       | 01/28/86 | Jerrim           | 370   | 18           | 03/23/84       |
| AQ                    | 4,568,800       | 02/04/86 | Orikasa          | 179   | 2            | 03/28/84       |
| AR                    | 4,577,182       | 03/18/86 | Millsap et al.   | 340   | 539          | 04/10/84       |
| AS                    | 4,601,047       | 07/15/86 | Horwitz et al.   | 375   | 2.2          | 03/23/84       |
| AT                    | 4,635,285       | 01/06/87 | Coombes          | 379   | 63           | 04/12/84       |
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| AV                    | 4,658,304       | 04/14/87 | Tsunekawa et     | 358   | 310          | 02/11/82       |
| AW                    | 4,677,656       | 06/30/87 | Burke et al.     | 379   | 63           | 06/19/84       |

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APPLICANT:

Narayan Menon, et. al.

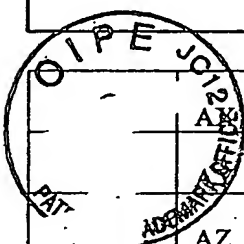
FILING DATE:

Corre. Harsawith

GROUP:

2661

(Use several sheets if necessary)



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| AB | 4,688,210 | 08/18/87 | Eizenhofer et       | 370 | 18   | 03/18/86 |
|    | 4,724,435 | 02/09/88 | Moses et al.        | 340 | 870  | 11/06/85 |
| AZ | 4,724,538 | 02/09/88 | Farrell             | 379 | 59   | 09/06/85 |
| BA | 4,737,975 | 04/12/88 | Shafer              | 379 | 58   | 12/30/86 |
| BB | 4,765,753 | 08/23/88 | Schmidt             | 379 | 60   | 03/03/87 |
| BC | 4,775,997 | 10/04/88 | West, Jr. et al.    | 379 | 58   | 04/08/87 |
| BD | 4,868,519 | 09/19/89 | Shafer              | 330 | 284  | 11/02/88 |
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| BF | 4,937,852 | 06/26/90 | Manzarek            | 379 | 61   | 02/29/88 |
| BG | 4,959,851 | 09/25/90 | Tobolski, Jr. et    | 379 | 59   | 05/10/89 |
| BH | 4,975,926 | 12/04/90 | Knapp               | 375 | 1    | 03/30/89 |
| BI | 4,984,247 | 01/08/91 | Kaufmann et al.     | 375 | 1    | 09/29/89 |
| BJ | 5,046,066 | 09/03/91 | Messenger           | 370 | 94.1 | 02/12/87 |
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| BL | 5,117,450 | 05/26/92 | Joglekar et al.     | 379 | 58   | 11/27/90 |
| BM | 5,134,651 | 07/28/92 | Ortiz et al.        | 379 | 112  | 04/18/91 |
| BN | 5,151,920 | 09/29/92 | Haagh et al.        | 375 | 1    | 09/10/91 |
| BO | 5,200,956 | 04/06/93 | Pudney et al.       | 370 | 95.1 | 05/22/90 |
| BP | 5,206,881 | 04/27/93 | Messenger et        | 375 | 1    | 04/15/92 |
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| BS | 5,260,967 | 11/09/93 | Schilling           | 375 | 1    | 01/13/92 |
| BT | 5,276,703 | 01/04/94 | Budin et al.        | 375 | 1    | 01/13/92 |
| BU | 5,278,890 | 01/11/94 | Beeson, Jr., et al. | 379 | 57   | 11/27/91 |
| BV | 5,285,469 | 02/08/94 | Vanderpool          | 375 | 1    | 06/07/91 |

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42 P115413

APPLICANT:

Narayan Menon, et. al.

FILING DATE:

Core. Herewith

GROUP:

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| BU | 5,303,286 | 04/12/94 | Wiedeman         | 379 | 59   | 03/29/91 |
| BX | 5,303,287 | 04/12/94 | Laborde          | 379 | 59   | 08/13/92 |
| BY | 5,319,634 | 06/07/94 | Bartholomew et   | 370 | 18   | 10/07/91 |
| BZ | 5,337,342 | 08/09/94 | Kruger et al.    | 379 | 40   | 09/14/92 |
| CA | 5,343,496 | 08/30/94 | Honig et al.     | 375 | 1    | 10/24/93 |
| CB | 5,361,297 | 11/01/94 | Ortiz et al.     | 379 | 130  | 07/28/92 |
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| CD | 5,428,821 | 06/27/95 | Krisna et al.    | 455 | 54   | 06/28/91 |
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| CF | 5,467,367 | 11/14/95 | Izumi et al.     | 375 | 206  | 06/13/94 |
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| CK | 5,535,260 | 07/09/96 | Zicker et al.    | 379 | 63   | 09/29/94 |
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| CM | 5,548,583 | 08/20/96 | Bustamante       | 370 | 18   | 02/15/95 |
| CN | 5,577,029 | 11/19/96 | Lu et al.        | 370 | 54   | 05/04/95 |
| CO | 5,596,625 | 01/21/97 | LeBlanc          | 379 | 60   | 09/28/94 |
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# LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

APPLICANT:

Narayan Menon, et. al.

FILING DATE:

Conc. Hasegawa

GROUP:

Global

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

|    |                                                                                                                                                                                                                                                |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CT | Telular Prospectus, January 27, 1994                                                                                                                                                                                                           |
| CU | Indoor Radio Communications Using Time-Division Multiple Access with Cyclical Slow Frequency Hopping and Coding, Adel A.M. Saleh, Leonard J. Cimini, Jr. IEEE Journal on Selected Areas in Communications, Vol. 7, No. 1, Jan. 1989, pp. 59-70 |
| CV | Universal Digital Portable Radio Communications, Donald C. Cox, Proceedings of the IEEE, Vol. 75, No. 4, April 1987                                                                                                                            |
| CW | E.F. Johnson, 1154 Cellular Mobile Transceiver Service Manual; Jun. 1985                                                                                                                                                                       |
| CX | Glenayre, GL2020 Mobile Telephone Operating Instructions; Glenayre Electronics (Applicant believes that the date of publication is prior to July, 1995)                                                                                        |
| CY | Fixed Subscriber Radio Systems at V.H.F and U.H.F., Radio & Elec. Eng. Australia, A.J. Edwards, Dec. 1972                                                                                                                                      |
| CZ | "Notes on the Network" by AT&T Network Planning Division Fundamental Network Planning Section, AT&T, 1980                                                                                                                                      |
| DA | "90935/36 Remote and Master Telephone/Radio Interface Modules", Installation & Maintenance Practice, Dantel, Inc., 1981                                                                                                                        |
| DB | "Cellnet adds data to cellular service" International News, telephone engineer & Management, November 1, 1985                                                                                                                                  |
| DC | "Celldata launched", British Telecom Journal, No. 3, (1985) Vol. 6, Autumn                                                                                                                                                                     |
| DD | "Low Bit-Rate Speech Coders Applicable in Mobile Satellite Communication Systems", Neviano DAL DEGAN and Fulvio RUSINA, CSELT - Centrol Studie Laboratori Telecommunication S.p.A - Via G. Reiss Rom oli, 274 - 10148                          |
| DE | Radio Subscriber System, NEC Res. Develop. (Japan) T. Miyawaki, M. Tanka, H. Orikasa, I. Hotta, Apr. 1979                                                                                                                                      |
| DF | "Radio Linked Exchange and Concentrator Rural Telephone System" (RTS) 1975                                                                                                                                                                     |
| DG | An Automatic, Fully Interconnected HF Radio Telephone System, E. W. Derbyshire, Canadian Marconi Company, Montreal, Canada (Applicant believes that the date of publication is prior to July, 1995)                                            |
| DH | GM's Hughes Unites is Said to Receive China Phone Job, Wall Street Journal Article, Jeff Cole, 4/13/93                                                                                                                                         |
| DI | EXLOCRA: Local Extension by Radio, Francois P.M. Grandchamp, Telecommunications Journal, Aug. 1982                                                                                                                                             |
| DJ | Design of a Multiple Access Radio System for rural Telephony, A. Golderos Sanchez, Telecommunications Journal, Nov. 1983                                                                                                                       |
| DK | Subscriber Radio Telephone system for Rural Areas, M. Komura, T. Miyaagawa, Y. Suzuki, J. Uehara, Japanese Telecommunications Journal, April 1976                                                                                              |
| DL | Cellular Radio Telephony; Ericsson Review, 1987                                                                                                                                                                                                |
| DM | System Description; Nordic Mobile Telephone 1977                                                                                                                                                                                               |
| DN | Technical Manual; Canadian Marconi Company, Nov. 22, 1984                                                                                                                                                                                      |
|    | User's Guide for AT&T 3730/3710 or OKI 900/910 Portable Cellular Telephones; Cellular/Data Link, 1993                                                                                                                                          |

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Narayan Menon, et. al.

**GROUP:**

Conc. Herewith

2641

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|----|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| DO | New Rural Radiotelephone Brings Normal Service to Near—Impossible Areas, John W. Hagen, Telephony, Sep. 1975                                                                                                                                                        |
| DQ | The Problem of Radio Telephone Systems for Rural Subscribers; F. Barresi, K. Ongaro, M. Saba, S. Urbano, I.C.C., June 1978                                                                                                                                          |
| DR | Ericsson Radio Systems, "PayPhone", 12/19/84, Rev. A                                                                                                                                                                                                                |
| DS | Ericsson Radio Systems, "Coinbox-Interface", 12/19/84, Rev. A                                                                                                                                                                                                       |
| DT | Ericsson Radio Systems, "Radio Interference", 12/20/84 Rev. A                                                                                                                                                                                                       |
| DU | Ericsson Radio Systems, "Payphone", 12/20/84, Rev. A                                                                                                                                                                                                                |
| DV | Ericsson Radio Systems, "Coinbox-Interface", 12/20/84                                                                                                                                                                                                               |
| DW | Valtion Teknillinen Tutkimuskeskus documentation of prototype for NMT coin telephone adaptor dated 4/30/83 and English translation                                                                                                                                  |
| DX | Description of Automatic Coastal Radio Network (ARRV) and English Translation (Applicant believes that the date of publication is prior to July, 1995)                                                                                                              |
| DY | Valtion Teknillinen Tutkimuskeskus press release of successful trial of Valtion Teknillinen tutkimuskeskus developed adaptor for connecting coin telephone to NMT, (Applicant believes that the date of publication is prior to July, 1995) and English Translation |
| DZ | Copy of minutes of Valtion Teknillinen Tutkimuskeskus confirming completion of project to develop a prototype to test feasibility of wireless NMT coin telephone service dated 6/27/83 and English Translation                                                      |
| EA | Copy of PTT request for proposal for wireless NMT coin telephone service and English translation, dated 4/19/82                                                                                                                                                     |
| EB | PTT purchase order accepting Valtion Teknillinen Tutkimuskeskus proposal set forth in their proposal dated 6/18/82 and English translation                                                                                                                          |
| EC | Valtion Teknillinen Tutkimuskeskus proposal for developing adaptor for coin telephone connection to NMT system dated 5/17/82 and English Translation                                                                                                                |
| ED | PTT press release of successful trial of Valtion Teknillinen Tutkimuskeskus developed adaptor for connecting coin telephone to NMT dated 5/13/83                                                                                                                    |
| EE | PTT memo on proposal implementation of NMT coin telephone system dated 4/3/81 in Finnish language and English Translation                                                                                                                                           |
| EF | Copy of letter from Valtion Teknillinen Tutkimuskeskus to PTT confirming acceptance of proposal dated 8/4/82 and English Translation                                                                                                                                |
| EF | Original PTT project specification for wireless NMT coin telephone service (3 versions) 11/9/81, 9/6/83, and 1/15/85                                                                                                                                                |

DATE CONSIDERED:

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant